

Smoothed Analysis in Learning

By: Anish Jayant

Standard Models of Learning

Offline (PAC) learning

- Adversary picks a worst-case distribution P and learner receives *i.i.d.* samples
- Constrained to a function class F , the learner outputs predictor $f \in F$
- The learner's performance graded against best $f^* \in F$ on a fresh sample from P

Online Learning

- Adversary picks a worst case (x_t, y_t) while player picks $h_t: X \rightarrow Y$ simultaneously
- Player incurs loss $\ell(y_t, h_t(x_t))$
- Game continues for rounds $t \in [T]$ and performance graded on *regret*, the best fixed action $f^* \in F$ in hindsight

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Characterized by **VC Dimension** of \mathcal{F} , originates from *empirical process theory*

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Characterized by **Littlestone Dimension** of F , generalizes VC analysis using martingales

Smoothed Online Learning

Question [RST'11]: If the adversary's suggests distributions instead of points (*i.e.*, noise perturbation, semi-random behavior), can we recover learnability? (in the VC theory sense)

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...is the story over?

- How can we expand the toolkit from offline and online algorithms to smoothened setting?
- Is the "bounded derivative" setting the appropriate interpretation of smoothening for learning problems?

Theoretical Thinking

- Relaxing bounded likelihood ratio restriction to more general adversary distributions \mathcal{U} .
 - Initially needed for a coupling step in the proof that uses *rejection sampling*
 - A first step made by [BP'23] relaxes the ratio to general closeness in f -divergence, and shows rejection sampling technique continues to apply
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 - For binary classification, ERM is the staple yet notably fails for online learning [HK16]. How should we learn in smoothed online learning, given that ERM works again [BRS24]
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- A *universal* learning characterization?
 - Does there exist a characterization that generalizes both VC and Littlestone dimension?
 - A first step made by [BK25] by studying the pair $(\mathcal{F}, \mathcal{U})$ on an “interaction tree,” which recovers near-optimal rates in *both* offline and prior smoothed online learning.